





- Full time in information technology since 1981
- IT engineering research and project background
- University teaching experience since 1979
- Six books and dozens of articles
- Research Areas
 - reengineering, data reverse engineering, software requirements engineering, information engineering, human-computer interaction, systems integration/systems engineering, strategic planning, and decision support systems
- Director
 - George Mason University/Hypermedia Laboratory (1989-1993)
- DoD Computer Scientist
 - Reverse Engineering Program Manager/Office of the Chief Information Officer (1992-1997)
 - Visiting Scientist
 - Software Engineering Institute/Carnegie Mellon University (2001-2002)
- Published Papers
 - Communications of the ACM, IBM Systems Journal, InformationWEEK, Information & Management, Information Resources Management Journal, Hypermedia, Information Systems Management, Journal of Computer Information Systems and IEEE Software
- DAMA International Advisor Since 1999
- 2001 DAMA International Individual Achievement Award with Dr. E. F. "Ted" Codd
- Founding Director Data Blueprint Since 2000

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Content Access

- X1 XML Context and Expectations
- X2 XML DM Usage & Overview
- X3 XML Component Architecture
- X4 XML Framework Technologies
- X5 XML & Data Engineering
- X6 XML & Data Management Technologies

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- X7 XML-based Portal Capabilities
- XE XML Solution Design Patterns



 <u>Investing</u> in metadata

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- XML hype: Management by <u>Magazine</u>
- What XML developers want you to know about XML
- XML & data management





Objectives





Exploiting The Intersection Between XML & Data Engineering



IT Project Failure Rates

Recent IT project failure rates statistics can be summarized as follows:

- Carr 1994
 - 16% of IT Projects completed on time, within budget, with full functionality
- OASIG Study (1995)
- 7 out of 10 IT projects "fail" in some respect The Chaos Report (1995)
- 75% blew their schedules by 30% or more
 - · 31% of projects will be canceled before they ever get completed
 - · 53% of projects will cost over 189% of their original estimates
 - 16% for projects are completed on-time and on-budget
- KPMG Canada Survey (1997)
- 61% of IT projects were deemed to have failed
- Conference Board Survey (2001)
 - · Only 1 in 3 large IT project customers were very "satisfied"
- Robbins-Gioia Survey (2001)
 - 51% of respondents viewed their large IT implementation project as unsuccessful
- MacDonalds Innovate (2002)
 - · Automate fast food network from fry temperature to # of burgers sold-\$180M USD writeoff
- Ford Everest (2004)

 - Ord Everest (2004)
 Replacing internal purchasing systems-\$200 million over budget
 Interviews it-cortex.com/stat_failure_rate.htm (accessed 9/14/02)
 New York Times 1/2006 pk31
- FBI (2005)
- · Blew \$170M USD on suspected terrorist database-"start over from scratch" 24 - datablueprint.com



Oct 2004 IRS Accomplishment

- Unified five definitions of "child"
- Reduce 5 definitions to 1 for tax return preparations such as:
 - Dependent
 - Earned income tax credit
 - Child credit

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- Different reasons, either it
 - "Was developed to carry out social policy objective(s), or
 - Someone perceived it was going to save revenue"
- "Is it easier for (customers) to understand and it is easier for IRS to audit and there a lots of things like that we can do"
- Initiative started in 1991 it took 13 years including 2.5 years moving as legislation!

Source: Pamela F. Olson former Assistant Secretary for Tax Policy (quote from the Diane Rehm Show • 11/29/04 • http://www.wamu.org/programs/dr/04/11/29.php) 25 - datablueprint.com © Copyright 5/2205 by Data Blueprint - all rights reserved!



"The significant problems we face cannot be solved at the same level of thinking we were at when we created them." - Albert Einstein

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XML and the Second-Generation Web

The combination of hypertext and a global Internet started a revolution. A new ingredient, XML, is poised to finish the job

by JON BOSAK and TIM BRAY (both played crucial roles in the development of XML).

"Give people a few hints, and they can figure out the rest ... "

SUBTOPICS:

Something Old, Something New

An End to the World Wide Wait

Some Assembly Required

A Question of Style



Scientific American: Feature Article: XML and the Second Generation Web: May 1999 http://www.sciam.com/1999/0599issue/0599bosak.html

XML and the Second-Generation Web

"Give people a few hints, and they can figure out

the rest. They can look at this page, see some

large type followed by blocks of small type and

groceries and see shopping instructions. They

know that they are looking at the start of a

magazine article. They can look at a list of

can look at some rows of numbers and understand the state of their bank account."

SUBTOPICS:

Something Old, Something New

An End to the World Wide Wait

Some Assembly Required

A Question of Style



Scientific American: Feature Article: XML and the Second Generation Web: May 1999 http://www.sciam.com/1999/0599issue/0599bosak.html



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Investing in Metadata?

- How can IT staff convince managers to plan, budget, and apply resources for metadata management?
- What is metadata and why is it important?
- What technologies are involved?
- Internet and intranet technologies are part of the answer and will get the



immediate attention of management.

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XML is the other technology.





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Terrorist risk lists leave gap, even now

By Mimi Hall, USA TODAY

WASHINGTON — Nearly two years after the Sept. 11 terrorist attacks, key federal agencies have not consolidated a dozen separate "watch lists" intended to keep terrorists out of the country, even though sharing that kind of information might have caugint two of the suicide hijackers before they carried out their plot.



FBI pinelos via Getty

The Department of Homeland Security says it is working to combine lists of potential security risks maintained by at least nine agencies, but it has no timetable for finishing the job. Officials say critics underestimate the complexity of the task, especially technical problems involving computers and databases not designed to share information. They add that it is important to verify accuracy among lists that often name the same person with different spellings, birth dates or hometowns.

If federal agencies had been sharing information and using a master watch list, "then 9/11 might not have happened," says two Daalder, a homeland-security expert at the Brookings Institution, a think tank in Washington. "I find it criminal that it hasn't happened yet."

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Cat Stevens Donates Box Set Royalties To Sent 11 Fund

Hmm ...

Confusion

Correct Name: Yusuf Islam

TSA No Fly Listing: Youssouf Islam

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XML Examples - Which is Which? XML Example Document #1 XML Example Document #2

<?XML version="1.0"?> <DOCUMENT> <CUSTOMER> <NAME> <LASTNAME>Edwards</LASTNAME> <FIRSTNAME>Britta</FIRSTNAME> </NAME> <DATE>April 17, 1998</DATE> <ORDERS> <ITEM> <PRODUCT>Cucumber</PRODUCT> <NUMBER>5</NUMBER> <PRICE>1.25</PRICE> </ITEM> <ITEM> <PRODUCT>Lettuce</PRODUCT> <NUMBER>2</NUMBER> <PRICE>.98</PRICE> </ITEM> </ORDERS> </CUSTOMER> </DOCUMENT> © Copyright 5/22/05 by Data Blueprint - all rights reserved!

<?XML version="1.0"?> <SCHEDULE> <AIRLINE>NorthWest</AIRLINE> <FLIGHT> <NUMBER>449</NUMBER> <STATUS>Cancelled</STATUS> </FLIGHT> <FLIGHT> <NUMBER>640</NUMBER> <STATUS depart="0100">Delayed</STATUS> </FLIGHT> <AIRLINE>TWA</AIRLINE> <FLIGHT> <NUMBER>1010</NUMBER> <STATUS gate="17 Gold">On Time</STATUS> </FLIGHT> </SCHEDULE>

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XML Integration



- Until XML, it was cheaper to develop one-time solutions to data interface/exchange challenges than it was to develop programmatic solutions (no one measured maintenance costs)
- Organizations developed **point-to-point** solutions
- While cheaper to implement these interfaces are proving to be major barriers associated with organizational technological evolution ability
- XML equips organizations with the tools to develop programmatic solutions to manage their data interchange environments using the same economies of scale associated with their data management environments

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Legal

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- Data Quality Act United States of America
- Clinger-Cohen
- Sarbanes-Oxley
- Basel II Capital Accord
- California
- USA Patriot Act

AT THE SECOND SESSION

One Hundred Seventh Congress

of the

in and held at the City of Washington on Wednesday, twenty-third day of January, two thousand and two

An Act

vestors by improving the accuracy and reliability of corporate disclosures nade pursuant to the securities laws, and for other purposes.

Senate Bill 1386 enacted by the Senate and House of Representatives of States of America in Congress assembled,

SHORT TITLE: TABLE OF CONTENTS.

IORT TITLE.-This Act may be cited as the "Sarbanesof 2002'

BLE OF CONTENTS .- The table of contents for this Act is as follows:

- Sec. 1. Short title; table of contents.Sec. 2. Definitions.Sec. 3. Commission rules and enforcement.

TITLE I-PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

Data Quality Act of 2002

- Issue data quality guidelines "ensuring and maximizing the quality, objectivity, utility and integrity of information (including statistical information) disseminated by the agency;
- Establish administrative mechanisms allowing affected persons to seek and obtain correction of information maintained and disseminated by the agency that does not comply with the data quality guidelines; and
- Periodically report on the number and nature of complaints received by the agency regarding the accuracy of information disseminated by the agency, and how such complaints were handled by the agency.

Clinger-Cohen Act of 1996

- Formerly called Information Technology Management Reform Act(ITMRA)
- Defines an information technology architecture as an integrated framework for evolving or maintaining existing IT and acquiring new IT to achieve the agency's strategic and information resources management goals.
- Link IT investments to agency accomplishments

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 Requires that agency heads establish a process to select, manage and control their IT investments

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http://www.intervista-institute.com/resources/clinger-cohen-act.html

do







http://library.lp.findlaw.com/articles/file/00312/008569/title/features – accessed on 10/1/03.

- Enacted in the wake of Enron, etc.
- Intended to protect investors by improving the accuracy and reliability of corporate disclosures
- Radically redesigns federal regulation of public company corporate governance and reporting obligations.
- Significantly tightens accountability standards for directors and officers, auditors, securities analysts and legal counsel.
- Creates an obligation for accountants to maintain corporate audit records or review work papers for a period of 5 years from the end of the fiscal period during which the audit or review was concluded
- Provides for the imposition of fines, imprisonment up to 20 years, or both.
 - American Institute of Certified Public Accountants maintains a Sarbanes-Oxley Act hotline at 866-265-1977 http://www.aicpa.org/sarbanes/index.asp

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Basel II Capital Accord

- Amended regulatory framework that has been developed by the Bank of International Settlements
- Requires all internationally active banks, at every tier within the banking group, to adopt similar or consistent risk-management practices for tracking and publicly reporting exposure to operational, credit and market risks.
- "Technological changes brought about by Basel II provide a unique opportunity for financial services organizations to build an enterprise in which all business systems are connected, available and secure."

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http://www.bis.org/publ/bcbsca.htm





California Senate Bill 1386

- Took effect July 1, 2003
- Requires that companies conducting business in that state notify California residents if they know or reasonably believe there has been a breach in security that might have put their personal information at risk
- To enable customers to take protective measures -- such as informing their credit card companies - to minimize any damage
- If you have customers in California, own or license data containing personal information on such residents, or even maintain such data on behalf of another company, this law affects you.
- If your company suspects a breach and fails to quickly notify customers who suffer as a result, the law authorizes them to institute civil actions against your company to recover damages.
 - http://www.computerworld.com/printthis/2003/0,4814,85186,00.html
 - ChoicePoint (145,000) http://choicepoint.com/news/statement_0205_1.html#sub1
 - Bank of America (1M Federal employees) http://www.npr.org/templates/story/story.php?storyld=4514805

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Bad Credit Reporting Data



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15

USA Patriot Act

- Uniting and Strengthening America by Providing Appropriate Tools Required to Intercept and Obstruct Terrorism
- The greatest challenge the Patriot Act presents is updating or implementing new systems.

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- Identifying, interpreting and integrating data residing in multiple locations is the most challenging task
- "The ability to secure nationwide warrants to obtain e-mail and electronic evidence"
 - http://www.startribune.com/stories/1576/4122822.html (see also) http://www.eff.org/Privacy/Surveillance/Terrorism_militias/20011031_eff_usa_patriot_analysis.php

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Legal Confusion?

- "All these [regulations] deal with data, so there's going to be an IT implication. But I think it's a pragmatic response at this time for organizations not to overreact. No one wants to go overboard and then realize they did too much"
- If relevant data is inadvertently destroyed, the company can be charged with "spoliation of evidence," and consequences can be as severe as if your company had defied a court order
 - John Hagerty, analyst at AMR Research Inc.

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Do you know the game Twister?



(raw)

R& D Applications

(researcher supported, no documentation)

(contractor supported)



Data Integration/Exchange Challenges

- Customer typically has had different meanings to different parts of the organization:
 - Accounting -> organization that buys products or services
 - Service -> client

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- Sales -> prospect
- Assigning the same mission to the DoD 'lines of business' to: "Secure the building" elicits very different results from each 'line of business':
 - Army: Posts guards at all entrances and ensures no unauthorized access
 - Navy: Turns out all the lights, locks up, and leaves
 - Marines: Sends in a company to clear the building room-byroom; forms perimeter defense around the building
 - Air Force: Signs three year lease with option to buy [Second example courtesy of Burt Parker]

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Global Spending on Integration, Forecast to Increase at 169% annual rate

Total Market for intra- and inter-enterprise integration

Rising Integration Costs Global IT Spending Forecast

800 Other IT Spending Tech Support & systems integration 700 600 500 400 300 I Т L 200 н I L I. I. ı. I I L н I н 100 н 1 I I. I. I 1 I L I I 1 . 1 0 2005 2006 2007 2008 2009 51 - datablueprint.com © Copyright 5/22/05 by Data Blueprint - all rights reserved!



Obstacles to Real-Time BI-Lessons from Deployment

A model precisely defining the relationship between the terms BI, INFORMATION, FACT, MEANING, and DATA



- 1. Each FACT combines with one or more MEANINGS.
- 2. Each specific FACT and MEANING combination is referred to as a DATUM.
- 3. An INFORMATION is one or more DATA that are returned in response to a specific REQUEST
- 4. INFORMATION REUSE is enabled when one FACT is combined with more than one MEANING.

5. BI is INFORMATION associated with its USES. [Built on definition by Dan Appleton 1983] 53 - datablueprint.com

The new economy is the data economy

The Survey

- PriceWaterhouseCoopers interviewed 600 the Chief Information Officer (or equivalent)
- Mix of Top 500, middle-market, and ebusiness
- Cross-section of corporate activity across the in US, Australia and UK
- Assess
 - Managements' awareness of the crucial importance of data quality
 - Current level of competence in data management.



More than 2/3rds have formal data management training

Have you or have you not had formal data management training?





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Slightly over two-thirds of organizations used or were planning to apply formal metadata management

My organization used or is planning to use a formal approach to data management









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On average, less than half (48%) have plans to use repositories

My organization used or is planning to use a repository

Slightly over half of repository builders use a structured approach to repository development



Repository Technologies in Use

What tools do you use?









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• Does your company have a shared information system or is information kept within individual departments?





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Data management discussed at board meetings



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 Do you believe that senior management of your company places sufficient importance on data management issues?

76% Yes		24% No
56% Yes	44% No	
pers, Global Risk Management Solutions, Global Data Management Survey 2001: the new econom .com/Extweb/service.ns/86907880974ff5c9852565600073c0ba0Cae4432ccbaba2380256a04004e45	y is the data economy, 5/23/01 acce 40\$FiLE/Data+Management+broch	ssed on 8/8/01 ure.pdf buepoint
-	76% Yes 56% Yes pers, Global Risk Management Solutions, Global Data Management Survey 2001: the new econom com/Extweb/service.ns/Rbbd/7880974ff3c9852566e00073c0ba9Caa44332cbaba2380256a04004e45	76% Yes 56% Yes 44 pers, Global Risk Management Solutions, Global Data Management Survey 2001: the new economy is the data economy, 5/23/01 acce com/Extweb/service.rs/Rbb0/788097/dft5/9852565600073c0ba0/cae4/d2cobaba2880256a0004e4/54b/\$FILE/Data+Management+broch



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The new economy is the data economy

 Majority of companies do not have a documented board approved data strategy





The new economy is the data economy

- How confident are you in the quality of the your data?
 - "Only one in three companies are very confident in the quality of their own data"



The new economy is the data economy

 How confident are you in the quality of the data your company receives from third parties in its e-business activities?

"Only 15% of companies are very confident of the data received from other organizations"





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The new economy is the data economy

 Given these findings, we believe that corporate boards need to seize the initiative on data management, and mount a radical overhaul of their attitudes and objectives.
 Only through an agreed, documented and rigorously implemented data strategy indivisible from the rest of the company's strategic drivers, with committed leadership from board level and clear lines of responsibility, will a company put itself in a position to create value in the coming years.

PriceWaterhouseCoopers, Global Risk Management Solutions, Global Data Management Survey 2001: the new economy is the data economy, 52301 accessed on 88/01 http://www.pwcglobal.com/Extweb/service.nst/80/97/80/97/80/562656600073/0tbal/23/90/256a00004e/54/05FILE/Data+Management+brochure.pdf

XML Benefits Ratings

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Source: CIO Magazine Survey

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Top 5 reasons for using XML



Problems Business Hope XML Will Solve (flexibility and ease-of-use)



Source: CIO Magazine Survey

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XML Adoption Benefits



Perception of XML Critical Importance



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XML Adoption Challenges





CIO versus Practitioner XML-based Expectations

XML Adoption Index



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What is missing from this list?

How do CIOs demonstrate reduction in IT expenses?



Global Spending on Integration, Forecast to Increase at 169% annual rate



XML Success?

- Product investment defines success
 - XML has increased 10X faster than other IT investments
- · Questions instead are:

- How fast?

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- To what level?
- With what products?



XML Adoption for Documentbased Applications by B. Young & R. Clark STC Conference Proceedings http://www.stc.org/confproce ed/1999/PDFs/024.PDF

Growth of XML Spending Outpaces IT Spending at http:/doc.adv.isor.com



XML References

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- An initial introduction to XML (and also Cascading Style Sheets) is provided by "XML: A Primer" [St Laurent 1998].
- XML used for web site development, with HTML, XSL and XLL, is addressed in "XML: Extensible Markup Language" [Harold 1998].
- "XML Complete" [Holzner 1998] covers the use of XML with Java.
- "Web Farming for the Data Warehouse" [Hackathorn 1998] uses the Internet, Intranets and XML for access to external data sources for warehouse deployment.

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XML Information Web Sites



The World of XML Tools

Lisa Rein and Jim Woolum . Finetuning.com

Introduction

Overviews XML's rapid growth over the past two years.

Companies Developing XML Products

Compares functionality of XML products from more than 40 companies.

XML Products Hyperlinked descriptions of new products.

Great XML Resources

Links to specifications for the XML core, e-commerce, accessibility, and graphics/ multimedia, as well as resources for SAX and DOM.

http://computer.org/internet/xml/

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XML Web Resources



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XML Text HANDS-ON SELF-STUDY KIT Microsoft Windo CD-HON amazon.com Waracen | wester | means | en a) (ITTLE) (MLAURA MARCH MIDDAN DATOTHE CONTINUES A DOCK TRATEDOKS BUSICS Step by Step XML 0 Add to Shopping Carl Clist Price: 649-99 Dur Price: 534.99 You Save: \$15.00 (30% XML 8. 10-10-10 Availability U stie this item See more by this author all torus BUT IN THIS COLD up Language (XML) Teach yourself how to create well-formed and valid XML-based Web documents See how to display XML on the Web Michael J. Young data _____ 93 - datablueprint.com © Copyright 5/22/05 by Data Blueprint - all rights reserved!